

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

On March 15, 2007

PATENT  
Attorney Docket No.: 026693-008200US

TOWNSEND and TOWNSEND and CREW LLP

By: Andrea S. Beck

MAR 19 2007



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Edward J. Gavin, et al.

Application No.: 10/084,587

Filed: February 25, 2002

For: METHOD FOR ANALYZING  
MASS SPECTRA

Examiner: Tung S. Lau

Art Unit: 2863

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER  
37 CFR §1.97 and §1.98

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

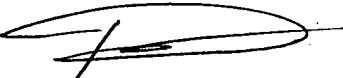
The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed is a copy of the Search/Examination Report from the corresponding Japanese application together with an English language translation.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Patrick R. Jewik  
Reg. No. 40,456

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, Eighth Floor  
San Francisco, California 94111-3834  
Tel: 415-576-0200  
Fax: 415-576-0300  
PRJ:mtd  
60984772 v1

MAR 19 2007

PTO/SB/08A (07-06)

<p>Substitute for form 1449A&amp;B/PTO TRADEMARK OFFICE <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)</p>				<i>Complete if Known</i>	
				Application Number	10/084,587
				Filing Date	February 25, 2002
				First Named Inventor	Gavin, Edward J.
				Art Unit	2863
Examiner Name	Tung S. Lau				
Sheet	1	of	2	Attorney Docket Number	026693-008200US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> ( <i># known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	US-5,649,068		07-15-1997	Boser et al.	
AB	US-6,128,608		10-03-2000	Barnhill	
AC	US-6,157,921		12-05-2000	Barnhill	
AD	US-6,427,141		07-30-2002	Barnhill	
AE	US-6,658,395		12-02-2003	Barnhill	
AF	US-6,714,925		03-30-2004	Barnhill	
AG	US-6,789,069		09-07-2004	Barnhill et al.	
AH	US-6,882,990		04-19-2005	Barnhill et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> ( <i>if known</i> )				
AI	WO	96/12187		A1	04-25-1996			<input type="checkbox"/>
AJ	WO	97/05553		A1	02-13-1997			<input type="checkbox"/>
AK	WO	00/63683		A1	10-26-2000			<input type="checkbox"/>

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T <sup>2</sup>
	AL	BENNETT, K.P. et al.; "A Support Vector Machine Approach to Decision Trees"; <u>Rensselaer Polytechnic Institute</u> , 7 pages.				<input type="checkbox"/>
	AM	BLANZ, V. et al.; "Comparison of view-based object recognition algorithms using realistic 3D models"; 1996, <u>Artificial Neural Networks</u> , Vol. 1112, pp. 251-256.				<input type="checkbox"/>
	AN	CHEN, Xin et al.; "Recursive Partitioning Analysis of a Large Structure-Activity Data Set Using Three-Dimensional Descriptors"; 1998, <u>Journal of Chemical Information and Computer Science</u> , Vol. 38, No. 6, pp. 1054-1062.				<input type="checkbox"/>

Examiner Signature	Date Considered
--------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.



Substitute for form 1449A&amp;B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	2	of	2	Attorney Docket Number	026693-008200US
-------	---	----	---	------------------------	-----------------

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	<sup>T</sup> <sup>2</sup>
	AO	CORTES, Corinna; "Support-Vector Networks"; 1995, <u>Machine Learning</u> , Vol. 20, pp. 273-297.	<input type="checkbox"/>
	AP	CUN, Y. Le et al.; "Handwritten Digit Recognition with a Back-Propagation Network"; <u>AT&amp;T Bell Laboratories</u> , 8 pages.	<input type="checkbox"/>
	AQ	FUNG, Glenn M. et al.; "A Feature Selection Newton Method for Support Vector Machine Classification"; 2004, <u>Computational Optimization and Applications</u> , Vol. 28, pp. 185-202.	<input type="checkbox"/>
	AR	MANGASARIAN, Olvi L. et al.; "Breast Cancer Diagnosis and Prognosis via Linear Programming"; 1994, <u>Mathematical Programming Technical Report No. 94-10</u> , pp. 1-9.	<input type="checkbox"/>
	AS	MIYANO, Satoru et al. "BONSAI: A Machine Discovery System from Strings by Decision Trees and Indexings"; 1993, <u>7th Annual Conference of Japanese Society for Artificial Intelligence</u> , pp. 119-122.	<input type="checkbox"/>
	AT	SCHOLKOPF, Bernhard et al.; "Extracting Support Data for a Given Task"; 1995, <u>Proceedings of the First International Conference on Knowledge Discovery &amp; Data Mining</u> , pp. 252-257.	<input type="checkbox"/>

Examiner Signature	Date Considered	
--------------------	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.